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Yuanta Won Sustainability Yearbook 2022 Gold Class with ESG Ranking in Top 1% of Global Diversified Financials Sector

S&P Global, an international sustainability rating organization, has announced the results of The Sustainability Yearbook 2022, which ranked Yuanta Financial Holding Company (FHC) in the top one percent (1%) of the global sector of diversified financial services and capital markets and awarded it the highest honor of Gold Class. The sustainability of our business has once again been recognized internationally.

The Sustainability Yearbook 2022 membership is based on the 2021 Corporate Sustainability Assessment (CSA) score (i.e., Dow Jones Sustainability Index), and the evaluation covers three major aspects: corporate governance, environment, and society. Among the 716 selected members worldwide, a total of 38 companies in Taiwan were recognized. Yuanta FHC has been included in the Sustainability Yearbook for four consecutive years since 2019. With continuous efforts in the three major aspects, it received the Bronze Class distinction in 2021 and was honored with the Gold Class this year. It is the only financial company in Taiwan to win the Gold Class in the Sustainability Yearbook 2022.

Yuanta FHC follows the “Corporate Governance 3.0” roadmap for sustainable development of Taiwan’s Financial Supervisory Commission, formulates Sustainable Finance Guidelines, strives to achieve the highest corporate governance standards in the financial industry, leverages the influence of capital providers and managers, and gradually incorporates ESG (environmental, social, and corporate governance) factors into credit granting, investment, and financial instrument development. As a leading pioneer of financial products in Taiwan, Yuanta FHC has responded to the changing

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behavior of customers by combining all channel resources to link the business of subsidiaries and using data analysis, integrating the virtual and real channels from the inside out, and strengthening the information security management system to strictly protect and respect the privacy of customers, resulting in a significant improvement in performance that has been affirmed by the evaluation.

Yuanta FHC has a long-term commitment to the social welfare sector. Since the outbreak of COVID-19, Yuanta FHC has donated more than NT\$260 million (~NT\$28: US\$1) to social welfare organizations and the first-line medical system, and has taken corporate social responsibility into account in its operations. All business locations in Taiwan implement epidemic prevention to protect employees and provide a safe and healthy working environment. Yuanta FHC also enhances its human resource development, and puts more effort on selection, training, employment, and retention to offer employees a stage for sustainable career development, and emphasizes on human resource attraction and retention as well as human rights, and as a result the score on the social aspect has made a great jump with a growth rate of over fourteen percent (14%).